

**18 NCAC 06A .1208      TRANSACTIONS EXEMPT UNDER RULE .1206: FILING REQUIREMENTS**

*History Note:*      *Authority G.S. 78A-17(17); 78A-49(a);  
Eff. January 1, 1984;  
Temporary Rule Eff. October 1, 1983, for a Period of 120 Days to Expire on January 29, 1984;  
Amended Eff. September 1, 1990; October 1, 1988;  
Temporary Amendment Eff. November 1, 2002; April 1, 2002;  
Amended Eff. August 1, 2004; April 1, 2003;  
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December  
6, 2016;  
Expired Eff. December 1, 2024 pursuant to G.S. 150B-21.3A.*